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Company: United States Patent and Trademark Office  
From: Timothy J. O'Sullivan, Esq.  
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**MYERS BIGEL SIBLEY & SAJOVEC, P.A.**  
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May 5, 2005

Stephen A. Calogero, Esq.  
Department T81/Building 503-3  
Intellectual Property Law Department  
International Business Machines Corp.  
Post Office Box 12195  
Research Triangle Park, NC 27709

RE: *Methods, Systems And Computer Program Products For Transferring Security Processing Between Processors In A Cluster Computing Environment*  
Application Serial No. 09/764,252, filed January 17, 2001  
IBM Docket No. RSW9-2000-0133-US1; Our File: 5577-216

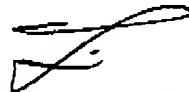
Dear Steve:

Enclosed please find a copy of a Terminal Disclaimer that was filed today in the United States Patent and Trademark Office for the above referenced matter.

We will keep you advised of further developments regarding this application. If you have any questions or comments please feel free to contact us at your convenience.

Best regards.

Sincerely,



Timothy J. O'Sullivan

TJO/tb  
Enclosure

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TJD

APRIL 18, 2001

PTAS

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TIMOTHY J. O'SULLIVAN  
POST OFFICE BOX 37428  
RALEIGH, NORTH CAROLINA 27627



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RECORDATION DATE: 01/17/2001

REEL/FRAME: 011491/0831

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ANTES, MARK L.

DOC DATE: 01/16/2001

ASSIGNOR:

GODWIN, JAMES RUSSELL

DOC DATE: 01/16/2001

ASSIGNOR:

HERR, DAVID ANTHONY

DOC DATE: 01/16/2001

ASSIGNOR:

OVERBY, LINWOOD H., JR.

DOC DATE: 01/16/2001

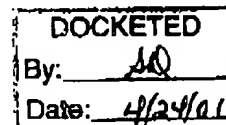
ASSIGNOR:

WIERBOWSKI, DAVID J.

DOC DATE: 01/16/2001

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ARMONK, NEW YORK 10504



MAY: 5.2005 10:34AM

MBS&S 919 854-1401

NO. 5068 P. 7/14

011491/0831 PAGE 2

SERIAL NUMBER: 09764500  
PATENT NUMBER:

FILING DATE: 01/17/2001  
ISSUE DATE:

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
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02-01 2001

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To the Honorable Co  
Please record the attached original documents or copy thereof.

101609749

1-17-01

Attorney Dkt: 5577.216  
Date: January 17, 2001

## 1. Name of conveying party(ies):

Mark L. Antes  
James Russell Godwin  
David Anthony Herr  
Linwood H. Overby, Jr.  
David J. Wierbowski

## 2. Name and address of receiving party(ies):

International Business Machines Corporation  
Armonk, New York 10504

Additional name(s) of conveying party(ies) attached? Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other

Execution Date: January 16, 2001

Additional name(s) & address(es) attached? Yes ☒ No

09764500

10840 U.S. PTO  
09/764500  
01/17/01

4. Application Serial No. to be assigned Patent No.

If this document is being filed together with a new application, the execution date of the application  
is: January 16, 2001

Additional numbers attached? Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

USPTO Customer No. 20792  
Timothy J. O'Sullivan  
Myers Bigel Sibley & Sajovec  
Post Office Box 37428  
Raleigh, North Carolina 27627

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00  
☐ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 09-0461

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## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy J. O'Sullivan, Reg. No. 35,632

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 7

Attorney Docket No. 5577-216

### ASSIGNMENT

THIS ASSIGNMENT, made by us, **Mark L. Antes**, a citizen of the United States of America, residing at RR2 Box 123, Gillett, Pennsylvania; **James Russell Godwin**, a citizen of the United States of America, residing at 9904 Gralyn Road, Raleigh, North Carolina; **David Anthony Herr**, a citizen of the United States of America, residing at 1007 Smokewood Drive, Apex, North Carolina; **Linwood H. Overby, Jr.**, a citizen of the United States of America, residing at 7252 Manor Oaks Drive, Raleigh, North Carolina; and **David J. Wierbowski**, a citizen of the United States of America, residing at 2489 Beecher Hill Road, Owego, New York;

WITNESSETH: That,

WHEREAS, we are the joint inventors of certain new and useful improvements in **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR TRANSFERRING SECURITY PROCESSING BETWEEN PROCESSORS IN A CLUSTER COMPUTING ENVIRONMENT**, for which an application for United States Letters Patent has been executed by us concurrently herewith, and

WHEREAS, **International Business Machines Corporation** (hereinafter referred to as "IBM"), a New York corporation having a principal place of business at Armonk, New York 10504, hereinafter referred to as assignee, is desirous of acquiring the entire right, title, and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all provisionals, continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International

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Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to bind our heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 16<sup>th</sup> day of January, 2001.

  
Mark L. Antes (SEAL)

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IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 16 day  
of January, 2001.

James Russell Godwin (SEAL)  
James Russell Godwin

RSW920000133US1



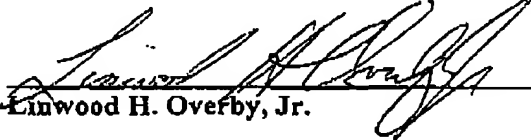
IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 16 day  
of January, 2001.

  
\_\_\_\_\_  
David Anthony Herr

(SEAL)

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IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 16 day of January, 2001.

  
Linwood H. Overby, Jr. (SEAL)

RSW920000133US1

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 16th day  
of January, 2001.

David J. Wierbowski (SEAL)  
David J. Wierbowski

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